

Secretariat: bluelight.pensions@local.gov.uk

Breach Assessment Template

Date of assessment

[Enter text about date of assessment and who assessment panel is]

Introduction

[Brief introduction to the breach being assessed]

Identified

[Enter text about how the breach was identified, for example it might be;

* Tracked from agenda
* Tracked from LGA bulletins / technical note
* Informed by scheme manager / Pensions administrator
* Something else]

Assessment

[Using the [TPR guidance](https://www.thepensionsregulator.gov.uk/-/media/thepensionsregulator/files/import/pdf/ps-reporting-breaches-examples-traffic-light-framework.ashx) comment on the four areas and score red, amber or green

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Cause | Effect | Reaction | Wider  Implications |
| Red |  |  |  |  |
| Amber |  |  |  |  |
| Green |  |  |  |  |

Action

[Enter text about what the course of action is in response to the breach, including any timescales.]

History / Frequency

[Enter text about whether this is a breach that has occurred before and with

what frequency.]

Decision

|  |  |
| --- | --- |
| Report as material breach |  |
| Recorded as breach |  |

Assessed by:

Date of assessment:

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Board Review:

Tabled at Board Meeting:

Agreed by board: